



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Kallol Pal, et al.

Confirmation No. 1698

Serial No.: 09/783,250

Examiner:

Filed: February 14, 2001

Group Art: 2184

For: SOFTWARE TESTING

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Nonprovisional Application
3. Response to Notice to File Missing Parts of Nonprovisional Application
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on May 7, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920000411US1

ASSISTANT COMMISSIONER F. PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231



DOCK NO. JP920000411US  
Date: February 13, 2001

Sir:

Transmitted herewith for filing is the Patent Application/of:

Inventors: Kallol Pal, Sanjeev K. Chaudhary, Vinay Kumar Kolar

For: SOFTWARE TESTING

ASSIGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

Enclosed are:

☒ 20 Sheets of Formal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a \_\_\_\_\_ application, dated \_\_\_\_\_, no. \_\_\_\_\_.

☐ Executed Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	45 - 20 =	25
INDEP CLAIMS	3 - 3 =	0
____ MULTIPLE DEPENDENT CLAIM PRESENTED		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 710.00
X \$ 18 =	\$ 450.00
X \$ 80 =	\$ 0.00
+ \$ 270 =	\$ 0.00
TOTAL	\$ 1,160.00

☒ Please charge my Deposit Account No. 500-629 in the amount of \$ 1,160.00.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500-629. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By

Manny Schecter  
Registration No.: 31,722  
Tel. (914) 945-3252

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Express Mail EL493856085US  
Date of Deposit: Feb. 14, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,250	02/14/2001	Kallol Pal	JP920000411US1

CONFIRMATION NO. 1698

## FORMALITIES LETTER



\*OC000000005869458\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 03/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$450.
  - \$450 for 25 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1290.

05/11/2001 DTESSEN1 00000106 500629 09783250

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	450.00 CH

*A copy of this notice MUST be returned with the reply.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kallol Pal, et al.  
Serial No.: 09/783,250  
Filed: February 14, 2001  
Confirmation No. 1698

Docket No. JP920000411US1  
Group Art Unit: 2184  
Dated: May 7, 2001

For: SOFTWARE TESTING

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/783,250 as originally filed on February 14, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 50-0629 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

A copy of the Application Fee Sheet, filed along with the application on February 14, 2001, shows the authorization to charge Deposit Account No. 50-0629 in the amount of \$1,160.00. Since the filing fee had not been charged previously, please charge our Deposit Account No. 50-0629, for the filing amount of \$1,160.00.

Respectfully submitted,

By Stephen C. Kaufman  
Stephen C. Kaufman (Reg. 29,551)  
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